**Notification when the foreign notifier received adverse dispositions**

**from the government administrative office**

**based on foreign law or regulation equivalent to FIEA**

mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

Notifier: Address:

Phone:

Name of Business:

Name:

(\*Name and Title of Representative in the case

that Notifier's legal status is a corporation, etc. )

Person performing clerical work

　　　　　　　　　 Postal code:

　　　　　　　　　 Address:

　　　　　　　　　 Phone:

　　　　　　　　　 Name of business,

name of person in charge:

I/We hereby submit the notification as I/we have received adverse dispositions as described below in accordance with the provisions of Article 63-2 (3)(iii) of the Financial Instruments and Exchange Act and Article 241-2(vii) of the Cabinet Office Ordinance concerning financial instrument businesses.

|  |  |
| --- | --- |
| Contents of the adverse dispositions |  |
| Date of the adverse dispositions | mm/dd/yyyy |
| Grounds for the adverse dispositions |  |

Attachments:

○ The articles of laws and regulations applied to above adverse dispositions and the translation in Japanese.