**Notification to be submitted when the Notifier became**

**a party in judicial decision or conciliation**

mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

Notifier: Address:

Phone:

Name of Business:

Name:

(\*Name and Title of Representative in the case

that Notifier's legal status is a corporation, etc. )

Person performing clerical work

　　　　　　　　　 Postal code:

　　　　　　　　　 Address:

　　　　　　　　　 Phone:

　　　　　　　　　 Name of business,

name of person in charge:

I/We hereby submit the notification as I/we became a party in judicial decision or conciliation case as described below in accordance with the provisions of Article 63-2 (3)(iii) of the Financial Instruments and Exchange Act and Article 241-2(vi) of the Cabinet Office Ordinance concerning financial instrument businesses.

|  |  |
| --- | --- |
| Name of business, etc. of the parties of judicial decision or conciliation case | Name and address of the plaintiff, name of the representative of the plaintiff |
| Name and address of the defendant, name of the representative of the defendant |
| The date on which the judicial decision, etc. was filed | mm/dd/yyyy |
| Court of competent jurisdiction |  |
| Details of the case |  |