mm/dd/yyyy

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To: The Director-General of the Kanto Local Finance Bureau

Address or location:

　　　　　　　　　　　　　　Name of Business:

　　　　　　　　　　　　　 Name of Applicant

　　　　　　　　　　　　　　(Name and Title of the Representative

in the case that applicant legal status is corporation)

I am hereby submitting a copy of the contract document specified under Article 63(9) of the Financial Instruments and Exchange Act (FIEA).

|  |  |
| --- | --- |
| Date on which submissions were made in accordance with Article 63(2) or Article 63-3(1) of the FIEA; or date on which amendments pertaining to Article 63(8) of the same Act (limited to the matters listed in the respective items of Article 239-2(2) of Cabinet Office Ordinance concerning financial instrument businesses) were made. | 　mm/dd/yyyy |
| Existence of notifications submitted in accordance with Article 239-2(4) of the Cabinet Office Ordinance on financial instruments business, etc., based on Article 63(9) of the FIEA | 　 |
| Date the above notifications were submitted | 　mm/dd/yyyy |
| Date on which signing took place for a contract relating to rights relating to Specially Permitted Businesses for Qualified Institutional Investors, etc. (SPBQII) specified in FIEA Article 2(2)(v) or (vi) | 　mm/dd/yyyy |

Attachments:

1. One copy of the contract