Document Verifying the Total Amount of Money and Other Forms of Assets Contributed

by Closely Related Persons and/or Persons Equipped with Expertise and Experience

　mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

　　　　　　　　　　Applicant Address or location:

　　　　　　　　　　　　　　 Name of Business:

　　　　　　　　　　　　　　 Name of Applicant

　　　　　　　　　　　　　　　　　　　　(Name and Title of the Representative

in the case that applicant legal status is corporation)

I am hereby submitting a document in accordance with the provisions of Article 238-2(1)(iii)(b) or (iv)(b) of the Cabinet Office Ordinance on financial instruments business, etc.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Names of the Invested Business Equity | Total amount of money and other assets invested or contributed by persons who have Invested Business Equity  (Specify the unit of the currency)  (A) |  | | Ratio  ((B + C) / A) |
| Total amount of money and other assets invested or contributed as specified under Article 234-2(1)(ii) or (2)(ii) | |
| Closely Related Persons ((a) of the above Item)  (Specify the unit of the currency)  (B) | Persons having expertise and experience in matters related to investment ((b) of the above Item)  (Specify the unit of the currency)  (C) |
|  |  |  |  | % |
|  |  |  |  | % |
|  |  |  |  | % |

\*Please attach documentation to verify the above amounts (e.g., records of invested amounts shown with the attributes of the investors).