Document to be Submitted when All of the Qualified Institutional Investors

are Investment Limited Partnerships (LPSs)

　mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

　　　　　　　　　　Applicant Address or location

　　　　　　　　　　　　　　 Name of Business:

　　　　　　　　　　　　　　 Name of Applicant

　　　　　　　　　　　　　　　　　　(Name and Title of the Representative   
in the case that applicant legal status is corporation)

I am hereby submitting a document in accordance with the provisions of Article 238-2(1)(iii)(a) or (iv)(a) of the Cabinet Office Ordinance on financial instruments business, etc.

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| --- | --- | --- | --- | --- |
| Names of the Invested Business Equity | Names of the Investment Limited Partnerships that serve as Qualified Institutional Investors | Total amount of money and other assets to be managed for the counterparty of the stated Investment Limited Partnerships in accordance with the Investment Limited Partnerships Agreement　(Specify the unit of the currency)  (A) | Amount of loans taken out by the Investment Limited Partnerships (Specify the unit of the currency)  (B) | (Specify the unit of the currency)  (A) - (B) |
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　\* Please attach documentation that verifies the above amounts (e.g., copies of latest financial statements of the Investment Limited Partnerships, loan agreements, etc.)