

**Notification when the foreign notifier received adverse dispositions
from the government administrative office
based on foreign law or regulation equivalent to FIEA**

mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

Notifier: Address:

Phone:

Name of Business:

Name:

(*Name and Title of Representative in the case
that Notifier's legal status is a corporation, etc.)

Person performing clerical work

Postal code:

Address:

Phone:

Name of business,
name of person in charge:

I/We hereby submit the notification as I/we have received adverse dispositions as described below in accordance with the provisions of Article 63-2 (3)(iii) of the Financial Instruments and Exchange Act and Article 241-2(vii) of the Cabinet Office Ordinance concerning financial instrument businesses.

Contents of the adverse dispositions	
Date of the adverse dispositions	mm/dd/yyyy
Grounds for the adverse dispositions	

Attachments:

The articles of laws and regulations applied to above adverse dispositions and the translation in Japanese.