

**Notification to be submitted when the Notifier concluded  
judicial decision or conciliation case**

mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

Notifier: Address:

Phone:

Name of Business:

Name:

(\*Name and Title of Representative in the case  
that Notifier's legal status is a corporation, etc. )

Person performing clerical work

Postal code:

Address:

Phone:

Name of business,

name of person in charge:

I/We hereby submit the notification as the judicial decision or conciliation case which I/we became a party is concluded as described below in accordance with the provision of Article 63-2 (3)(iii) of the Financial Instruments and Exchange Act and Article 241-2(vi) of the Cabinet Office Ordinance concerning financial instrument businesses.

Name of business, etc. of the parties of judicial decision or conciliation case	Name and address of the plaintiff, name of the representative of the plaintiff
	Name and address of the defendant, name of the representative of the defendant
The date on which the judicial decision, etc. was concluded	mm/dd/yyyy
The court decision or terms of the reconciliation	