

**Notification to be submitted when the Notifier became
a party in judicial decision or conciliation**

mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

Notifier: Address:

Phone:

Name of Business:

Name:

(*Name and Title of Representative in the case
that Notifier's legal status is a corporation, etc.)

Person performing clerical work

Postal code:

Address:

Phone:

Name of business,

name of person in charge:

I/We hereby submit the notification as I/we became a party in judicial decision or conciliation case as described below in accordance with the provisions of Article 63-2 (3)(iii) of the Financial Instruments and Exchange Act and Article 241-2(vi) of the Cabinet Office Ordinance concerning financial instrument businesses.

Name of business, etc. of the parties of judicial decision or conciliation case	Name and address of the plaintiff, name of the representative of the plaintiff
	Name and address of the defendant, name of the representative of the defendant
The date on which the judicial decision, etc. was filed	mm/dd/yyyy
Court of competent jurisdiction	
Details of the case	