

mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

Address or location:

Name of Business:

Name of Applicant

(Name and Title of the Representative

in the case that applicant legal status is corporation)

I am hereby submitting a copy of the contract document specified under Article 63(9) of the Financial Instruments and Exchange Act (FIEA).

Date on which submissions were made in accordance with Article 63(2) or Article 63-3(1) of the FIEA; or date on which amendments pertaining to Article 63(8) of the same Act (limited to the matters listed in the respective items of Article 239-2(2) of Cabinet Office Ordinance concerning financial instrument businesses) were made.	mm/dd/yyyy
Existence of notifications submitted in accordance with Article 239-2(4) of the Cabinet Office Ordinance on financial instruments business, etc., based on Article 63(9) of the FIEA	
Date the above notifications were submitted	mm/dd/yyyy
Date on which signing took place for a contract relating to rights relating to Specially Permitted Businesses for Qualified Institutional Investors, etc. (SPBQII) specified in FIEA Article 2(2)(v) or (vi)	mm/dd/yyyy

Attachments:

1. One copy of the contract