

Notification of Suspension (or Resumption) of Specially Permitted Businesses for Qualified Institutional Investors, etc.

mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

Notifier: Address:

Phone:

Name of Business:

Name :

(*Name and Title of Representative in the case
that Notifier's legal status is a corporation, etc.)

Person performing clerical work

Postal code:

Address:

Phone:

Name of business,

name of person in charge:

I/We hereby provide following changes of the notified matters set out below in accordance with the provision of Article 63-2 (3)(i) of the Financial Instruments and Exchange Act. ⁱ

Period of Suspension (or Date of Resumption)	From mm/dd/yyyy to mm/dd/yyyy
Reason of Suspension (or Reason of Resumption)	

ⁱ The provision of Article 63-2 (3)(i) of the Act is also applied pursuant to the provisions of Article 2 (2) of the Supplementary Provisions of the Act for Partial Revision of the Financial Instruments and Exchange Act ("the Supplementary Provisions FIEA") (Act No. 32 of 2015) in the case of a person that conducts Specially Permitted Business for Qualified Institutional Investors, etc. (SPBQII) under the Former Act specified under Article 2(1) of the Supplementary Provisions FIEA; or the provision of Article 63-2 (3)(i) of the Act is also applied pursuant to the provisions of Article 48 (3) or (7) of the Supplementary Provisions of the Act to Partially Amend the Securities and Exchange Act ("the Supplementary Provisions SEA") (Act No. 65 of 2006) in the case of a person that conducts Specially Permitted Investment Management Business (SPIMB) specified under Article 48 (1) of the Supplementary Provisions SEA.