

Sample entry

- Submit this notification without delay.
- Submit one original and one copy of this notification

Notification of Amendment for the investment contract

mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

Notifier: Address:
Phone:
Name of Business:
Name:
(*Name and Title of Representative in the case
that Notifier's legal status is a corporation, etc.)

Person performing clerical work
Postal code:
Address:
Phone:
Name of business,
name of person in charge:

Regarding a copy of the investment contract document which I/we submitted under Article 63(9) of the Financial Instruments and Exchange Act (FIEA), I/we hereby provide notification of the amendment of the investment contract under Article 63(10) of FIEA and Article 239-2(7) of Financial Instruments Business, etc.

If an operator has entered "Yes" to the "Existence of persons specified under the items of Article 233-3" column on (No.3) of notification form (Form 20), enter the date of such notification.

Date on which submissions were made in accordance with Article 63(2) of the FIEA; or date on which amendments pertaining to Article 63(8) of the same Act (limited to the matters listed in the respective items of Article 239-2 (2) of Cabinet Office Ordinance concerning financial instrument businesses) were made.	mm/dd/yyyy
Contents of change	【Before change】 Article XX ○○○○○○ 【After change】 Article XX △△△△△△
Date of change	mm/dd/yyyy

Reason for change	Due to the -----
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Attachments:

- One copy of the amended investment contract

Note:

As for Contents of change column, if there are many amendments in the contract, you can enter “See Appendix” and attach a comparative table of the prior and amended article provisions.

The reasons for the amendment shall be stated specifically. (Example: There were changes in the contents of the Invested Business of the fund)