

**【Entry example】 For SPBQII Notifier (Individual)**

mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

Address: **555 Waverly St,  
Houston Texas 770XX USA**

Name: **James Miller**

### Pledge statement

I hereby pledge that I do not fall under any of (a) through (d) of Article 63(7)(ii) of the Financial Instruments and Exchange Act.

【Entry example】 For SPBQII Notifier (Individual)

mm/dd/yyyy

To: The Director-General of the Kanto Local Finance Bureau

Address: 555 Waverly St,  
Houston Texas 770XX USA

Name: James Miller

### Pledge statement

I hereby pledge that I do not fall under any of the items of Article 29-4(1)(ii) (a) and (c) through (i) of the Financial Instruments and Exchange Act ("FIEA") and I am not an Organized Crime Group Member, etc. as specified under Article 63(7) of the FIEA.